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# Construction of a superimposed code using partitions

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## Abstract

This paper will focus on the construction of superimposed codes using incidence matrices. Such constructions require a set of elements and a partial order defined on the set. We will define a partial order on partitions. The construction will be made using elements from the partially ordered set of partitions of  $n$  elements.

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## 1. Definitions

**Definition 1.** Consider the following matrix:

$$X = \begin{bmatrix} x_{11} & x_{12} & \cdot & \cdot & \cdot & x_{1t} \\ x_{21} & & & & & \\ \cdot & & & x_{ij} & & \\ \cdot & & & & & \\ \cdot & & & & & \\ x_{N1} & x_{N2} & \cdot & \cdot & \cdot & x_{Nt} \end{bmatrix}, \quad x_{ij} \in \{0, 1\}.$$

The above  $N \times t$  matrix  $X$  will be referred to as a *code*. The columns of  $X$  are the *codewords*. Let  $\mathbf{x}_j$  denote the  $j$ th codeword. Then we have a code of size  $t$  and length  $N$ . Notice that code  $X$  is a collection of codewords that are represented by binary vectors; hence we can use the concept of a *Boolean sum* and *intersection* of two binary vectors.

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**Definition 2.** The Boolean sum of two binary codewords is denoted by  $\mathbf{x}_i \vee \mathbf{x}_j = (x_{1i} \vee x_{1j}, \dots, x_{Ni} \vee x_{Nj})$ , where  $\vee$  is the OR operation.

**Definition 3.** The intersection vector of two binary codewords is denoted by  $\mathbf{x}_i \wedge \mathbf{x}_j = (x_{1i} \wedge x_{1j}, \dots, x_{Ni} \wedge x_{Nj})$ , where  $\wedge$  is the AND operation.

**Definition 4.** We say that  $\mathbf{x}_i$  covers  $\mathbf{x}_j$  if  $\mathbf{x}_i \vee \mathbf{x}_j = \mathbf{x}_i$ .

**Definition 5.** A code  $X$  has strength  $s$  if the Boolean sum of any  $s$  codewords does not cover any other codeword in  $X$ . A code that has a strength  $s$  is an  $(N, s, t)$  superimposed code.

**Definition 6.** The weight  $w(\mathbf{x}_i)$  of binary codeword  $\mathbf{x}_i$  is the number of nonzero elements in the codeword. Let  $w = \min_{1 \leq i \leq t} w(\mathbf{x}_i)$ .

**Definition 7.** The intersection  $\lambda(\mathbf{x}_i, \mathbf{x}_j)$  between two codewords— $\mathbf{x}_i, \mathbf{x}_j$ —is the number of places in which both  $\mathbf{x}_i$  and  $\mathbf{x}_j$  have nonzero elements, i.e.  $\lambda(\mathbf{x}_i, \mathbf{x}_j) = w(\mathbf{x}_i \wedge \mathbf{x}_j)$ . Let

$$\lambda = \max_{\substack{1 \leq i, j \leq t \\ i \neq j}} \lambda(\mathbf{x}_i, \mathbf{x}_j).$$

**Theorem (Kautz–Singleton).** A lower bound for the value of the parameter  $s$  is [3]:  $s \geq \lceil (w - 1) / \lambda \rceil$ .

**Remark.** Obviously if we have that any  $s$  codewords do not cover any  $\mathbf{x}_j$ , then we also have any number of codewords less than  $s$  will also not cover  $\mathbf{x}_j$ .

Let  $n, q_1, q_2 \in \mathbb{N}$ , where  $2 \leq q_1 \leq q_2 \leq n$ .  $A_{q_i} \equiv \{0, 1, \dots, q_i - 1\}$  is the standard  $q_i$ -ary alphabet,  $i \in \{1, 2\}$ , and  $[n] = \{1, 2, \dots, n\}$  is an ordered set of  $n$  elements.  $M_{q_i} \equiv \{\mu_1, \mu_2, \dots, \mu_{q_i!}\}$  is the set of all  $q_i!$  permutations of  $q_i$  symbols. Let  $\mathbf{y} \equiv (y_1, y_2, \dots, y_n)$ ,  $y_i \in A_{q_i}$  denote an arbitrary  $q_i$ -ary  $n$ -sequence that identifies an unordered  $q_i$ -partition  $\{E_0; E_1; \dots; E_{q_i}\}$  of  $[n]$  where  $E_m = \{i : y_i = m\}$ ,  $m \in A_{q_i}$  e.g. if  $[n] = \{1, 2, 3, 4, 5\}$  and  $q_i = 3$ ; then  $\mathbf{y} = (1, 1, 1, 2, 0)$  identifies the partition  $\{(5); (1, 2, 3); (4)\}$  where  $E_0 = \{5\}$ ,  $E_1 = \{1, 2, 3\}$  and  $E_2 = \{4\}$ .

**Remark.** Any  $q_i$ -partition contains  $q'_i, 1 \leq q'_i \leq q_i$ , nonempty clusters.

For any  $\mu_i \in M_{q_i}$  we can identify a  $q_i$ -ary  $n$ -sequence:  $\mathbf{y}^{\mu_i} = (\mu_i(y_1), \mu_i(y_2), \dots, \mu_i(y_n))$  called a  $\mu$ -complement of  $\mathbf{y}$ . Notice that all  $\mu$ -complements of any  $\mathbf{y}$  identify the same unordered  $q_i$ -partition. In our construction we want to isolate all partitions of a set that have  $q_1$  and  $q_2$  nonempty clusters. Then we will define a partial order relation between them. Let us denote a partition with  $q_i$  nonempty clusters by  $\tilde{\mathbf{y}}_{q_i}$ . The set of all partitions of  $[n]$  that contain  $q_i$  nonempty clusters will be denoted by  $S_{q_i}(n)$ . In addition to  $\mu$ -complement where a bijection acts on a vector, we would also like to introduce this operation but with a surjection from  $A_{q_2}$  onto  $A_{q_1}$ . We will say that vector  $\mathbf{y}$  is mapped by  $\phi$  to  $\mathbf{y}^\phi = (\phi(y_1), \phi(y_2), \dots, \phi(y_n))$ . Let us introduce the following relation  $\succ$ . We will say that

$\tilde{y}_{q_1} \succ \tilde{z}_{q_2}$  iff  $\exists \phi : A_{q_2} \rightarrow A_{q_1}$ , a surjection, such that  $\mathbf{z}_{q_2}^\phi = \mathbf{y}_{q_1}$  for some vector  $\mathbf{z}_{q_2}$  corresponding to  $\tilde{z}_{q_2}$ , and  $\mathbf{y}_{q_1}$  corresponding to  $\tilde{y}_{q_1}$ .  $\tilde{z}_{q_2}$  is then called a *sub-partition* of  $\tilde{y}_{q_1}$ . Notice that if  $q_1 < q_2$ , then we can never have  $\tilde{z}_{q_2} \succ \tilde{y}_{q_1}$  since we cannot define a surjection from one set to a larger set.

We would now like to find the number of partitions that have  $q_1$  clusters (nonempty parts) and also the number of partitions that have  $q_2$  clusters. This is a problem solved by using Stirling set numbers of the second kind [4]. We have that the number of partitions of  $[n]$  having  $q_i$  clusters is

$$|S_{q_i}(n)| = \frac{1}{q_i!} \sum_{j=0}^{q_i-1} (-1)^j \binom{q_i}{j} (q_i - j)^n.$$

## 2. Construction

The construction of this superimposed code is similar to the construction used in [1] with the exception that we use the Kautz–Singleton bound for the strength  $s$ . Instead of using the set–subset relation, we shall use the partition–subpartition relation as defined above. Let us denote elements from  $S_{q_2}(n)$  by  $P_i$ ,  $1 \leq i \leq |S_{q_2}(n)|$  and elements from  $S_{q_1}(n)$  by  $R_j$ ,  $1 \leq j \leq |S_{q_1}(n)|$ . Consider the following matrix:

$$\begin{bmatrix} R_1 \\ \cdot \\ \cdot \\ R_j \\ \cdot \\ R_N \end{bmatrix} \begin{bmatrix} [ P_1 & \dots & P_i & \dots & P_t ] \\ x_{11} & x_{12} & \cdot & \cdot & \cdot & x_{1t} \\ x_{21} & & & & & \\ \cdot & & & & & \\ \cdot & & & x_{ji} & & \\ \cdot & & & & & \\ x_{N1} & x_{N2} & \cdot & \cdot & \cdot & x_{Nt} \end{bmatrix},$$

where  $x_{ji} = 1$  iff  $R_j \succ P_i$  else  $x_{ji} = 0$ . Notice that for this code we have

$$\begin{aligned} t &= |S_{q_2}(n)|, \\ N &= |S_{q_1}(n)|. \end{aligned}$$

We can also find the weight and maximum intersection and hence a value for  $s$ —the strength of the code. First of all notice that to find the weight of any column  $i$  we need to find the number of surjections from  $P_i$  to partitions containing  $q_1$  clusters. This is translated to being the number of surjections from  $A_{q_2}$  to  $A_{q_1}$ . This value depends only on the values  $q_1$  and  $q_2$ , which means that as we increase  $n$  and hence increase the number of codewords  $t$  and their length  $N$ , we will always have a constant weight (assuming we keep  $q_1$  and  $q_2$  constant). The number of surjections from a set of size  $q_2$  to a set of size  $q_1$  is a well-known formula:

$$\sum_{i=0}^{q_1-1} (-1)^i \binom{q_1}{i} (q_1 - i)^{q_2}.$$

Now we have to notice that for any surjection, a permutation composed with the surjection is also a surjection (a different one) but the partition to which it is mapping its subject to is the same because the vector is simply a  $\mu$ -complement. More formally,

$$\mathbf{y}_{q_2}^\phi \approx \tilde{\mathbf{z}}_{q_1},$$

$$(\mathbf{y}_{q_2}^\phi)^{\mu_i} \approx \tilde{\mathbf{z}}_{q_1}, \quad \forall \mu_i \in M_{q_1}.$$

Also  $\forall \mu_i \in M_{q_1}, \mu_i \neq (1), \mu_i \circ \phi = \varphi \neq \phi$ , and since the composition of an onto mapping with an onto mapping is again onto, we have that  $\varphi$  is onto. The weight is going to be the number of surjections from a given partition  $P_i$  to *distinct* partitions. Since the vectors representing partitions of the form  $R_j$  contain all  $q_1$  symbols, we must divide the sum of surjections by  $q_1!$  to obtain  $w$ :

$$w = \frac{1}{q_1!} \sum_{i=0}^{q_1-1} (-1)^i \binom{q_1}{i} (q_1 - i)^{q_2} = |S_{q_1}(q_2)|.$$

Note that the value for  $w$  is a Stirling set number, i.e. it is the number of ways of partitioning a set of  $q_2$  elements into  $q_1$  clusters, which is exactly what we are doing in the partial order relation.

To find  $\lambda$ , the maximum intersection, we must first specify a *partition distance* as used in [2]:

**Definition 8.** The partition distance between two partitions  $P_i, P_j$  is  $d_P(P_i, P_j) = \min_{\mu \in M_{q_2}} d_H(\mathbf{y}_{q_2}, \mathbf{z}_{q_2}^\mu)$ , where  $d_H$  is the Hamming metric and  $\mathbf{y}_{q_2} \approx P_i, \mathbf{z}_{q_2} \approx P_j$ .

**Lemma.** If  $d_P(P_i, P_j) = 1$ , then  $\lambda(\mathbf{x}_i, \mathbf{x}_j) = \lambda$ .

**Proof.** Let  $P_i, P_j$  be partitions, containing  $q_2$  nonempty clusters, such that  $d_P(P_i, P_j) = 1$ . By definition, there exist vectors  $\mathbf{y} \approx P_i, \mathbf{z} \approx P_j$  such that  $d_H(\mathbf{y}, \mathbf{z}) = 1$ . This means that  $\exists i, 1 \leq i \leq t, y_i = a \neq z_i = b$ . To obtain the number of partitions from  $S_{q_1}(n)$  that have  $P_i$  and  $P_j$  as sub-partitions, we group clusters  $a$  and  $b$  into one cluster to obtain a partition containing  $q_2 - 1$  nonempty clusters. We then find that the number of surjections from  $P_i$  and  $P_j$  into  $S_{q_1}(n)$  is  $|S_{q_1}(q_2 - 1)|$ . Hence  $\lambda(\mathbf{x}_i, \mathbf{x}_j) = |S_{q_1}(q_2 - 1)|$ . If on the other hand, we have that  $d_P(P_i, P_j) > 1$ , then we will also have  $d_H(\mathbf{y}, \mathbf{z}) > 1$ , meaning that there will be more positions in the vectors that will have differing elements. In the same way, to find  $\lambda(\mathbf{x}_i, \mathbf{x}_j)$ , we would have to group clusters together. This could produce partitions that have  $q_2 - k, k = \overline{2, q_2 - 1}$  nonempty clusters, producing an intersection:  $\lambda(\mathbf{x}_i, \mathbf{x}_j) = |S_{q_1}(q_2 - k)|$ . Stirling set numbers of the second kind decrease as the size of the sequence being partitioned decreases and the number of clusters the sequence is partitioned into remains the same, i.e.  $|S_{q_1}(q_2 - k)| < |S_{q_1}(q_2 - 1)|, k = \overline{2, q_2 - 1}$ . This means that a case in which the maximum intersection  $\lambda$  occurs is between partitions that are at minimal distance from each other and it is equal to  $|S_{q_1}(q_2 - 1)|$ .  $\square$

Table 1

$n$	$t$	$N$	$q_2$	$q_1$	$s$
10	34 105	9330	4	3	5
10	42 525	511	5	2	2
10	42 525	9330	5	3	4
10	42 525	34 105	5	4	9
10	22 827	34 105	6	4	6
10	22 827	42 525	6	5	14
20	45 232 115 901	524 287	4	2	2
20	45 232 115 901	580 606 446	4	3	5
20	749 206 090 500	524 287	5	2	2
20	749 206 090 500	580 606 446	5	3	4
20	749 206 090 500	45 232 115 901	5	4	9

Now we can calculate  $s$  using the Kautz–Singleton bound:

$$s = \left\lfloor \frac{w - 1}{\lambda} \right\rfloor = \left\lfloor \frac{|S_{q_1}(q_2)| - 1}{|S_{q_1}(q_2 - 1)|} \right\rfloor.$$

Below is a summary of the parameters for the code that we constructed.

$$t = |S_{q_2}(n)|, \quad w = |S_{q_1}(q_2)|,$$

$$N = |S_{q_1}(n)|, \quad \lambda = |S_{q_1}(q_2 - 1)|.$$

Table 1 gives a list of some parameters that were found using specific values for  $n, q_1, q_2$  and using the Kautz–Singleton bound.

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